TOOLKIT 1 · SURGICAL SAFETY · TOOL 5 OF 5

Checklist Compliance Audit Form

Ten observed cases a month. Observation, not signatures

Hospital / theatre	
Month	
Observer (not a member of the operating team)	

Case	Date	Sign In done	Read aloud	Team attentive	Time Out done	Read aloud	All items	Sign Out done	Counts confirmed	Specimen read aloud	Total (of 9)
1		☐	☐	☐	☐	☐	☐	☐	☐	☐	
2		☐	☐	☐	☐	☐	☐	☐	☐	☐	
3		☐	☐	☐	☐	☐	☐	☐	☐	☐	
4		☐	☐	☐	☐	☐	☐	☐	☐	☐	
5		☐	☐	☐	☐	☐	☐	☐	☐	☐	
6		☐	☐	☐	☐	☐	☐	☐	☐	☐	
7		☐	☐	☐	☐	☐	☐	☐	☐	☐	
8		☐	☐	☐	☐	☐	☐	☐	☐	☐	
9		☐	☐	☐	☐	☐	☐	☐	☐	☐	
10		☐	☐	☐	☐	☐	☐	☐	☐	☐	
Compliance this month: total ticks ÷ 90 × 100 = _____ %							Last month: _____ %			**Target: 95 %**	

Rules. Tick only what you saw. "Read aloud" means audible to the room. "Team attentive" means no one was on a phone or out of the room. Report by theatre and by surgeon to the theatre committee; post the theatre-level figure on the notice board. A signed checklist sheet is not evidence of a performed checklist.

ASF standards: Hospital Standard 4 — surgical safety criteria (means of verification: observation audit)