

AI System Assessment

Form | AI-FRM-001

Document type	Form
Title	AI System Assessment
Document ID	{{facility.code}}-AI-FRM-001
Version and status	1.1 Approved by the ASF Expert Committee
Prepared by	{{roles.quality_lead.name}}, Quality lead
Reviewed by	{{roles.clinical_director.name}}, Clinical director Date: _____
Approver and signature	{{roles.clinical_director.name}}, Clinical director Signature: _____ Date: _____
Effective date	{{document.effective_date}}
Next review	{{document.review_date}} (12 months, or sooner after any incident or change)
Replaces	None (new document)
Standards and criteria supported	Standard 2D, criterion 2D.4
Evidence basis	E-011, E-009
Status of this document	Recommended best-practice model. A hospital may adopt, adapt or replace it with an equivalent that meets the same criteria.

Use this form when

Assessment of each AI system against WHO principles, with the human oversight rule.

1. How to complete

- Complete one form per system.
- For each principle, record the evidence and the result.
- Write the oversight rule and sign.

2. Items to check

#	Item	Standard or evidence	Yes	No	N/A	Action if No
1	System purpose, vendor, version and population recorded	Yes [EC]	☐	☐	☐	Action and who to tell
2	Autonomy: clinician keeps the final decision	Yes [EC]	☐	☐	☐	Action and who to tell
3	Safety: validation evidence and error rate recorded	Yes [EC]	☐	☐	☐	Action and who to tell
4	Transparency: how the output is explained to clinicians	Yes [EC]	☐	☐	☐	Action and who to tell
5	Accountability: named clinical owner	Yes [EC]	☐	☐	☐	Action and who to tell
6	Equity: performance checked across patient groups	Yes [EC]	☐	☐	☐	Action and who to tell
7	Sustainability: maintenance and update plan	Yes [EC]	☐	☐	☐	Action and who to tell
8	Human oversight rule written	Yes [EC]	☐	☐	☐	Action and who to tell

Completed by (name and role): _____ Date/time: _____ Signature: _____

3. Evidence

ID	Source and status
E-011	WHO (2021), Ethics and governance of artificial intelligence for health. Used as the basis for AI governance. Link and wording to verify before publication.
E-009	ASF Expert Committee consensus. Form content to be agreed.

EC = ASF Expert Committee consensus.

4. Change history

Version	Date	What changed	Approver and signature
1.0	{{document.effective_date}}	First version	Approved by the ASF Expert Committee
1.1	{{document.effective_date}}	Internal quality review: corrected cross-references, status wording and document control	Approved by the ASF Expert Committee